

97-18-01

H

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DOCKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION:		PRIOR APPLICATION	
	CLASS	SUBCLASS	EXAMINER	ART UNIT
510015-257			Romeo, D.	1647

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL585707609US

Date of Deposit: July 11, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

By: 

Name: Amber Stewart

DIVISIONAL APPLICATION UNDER 37 C.F.R. § 1.53(b)

BOX PATENT APPLICATION

Commissioner for Patents

Washington, DC 20231

Dear Sir:

This is a request for filing a divisional application under 37 CFR § 1.53(b) of Serial No. 09/552,988, filed on April 21, 2000, entitled "ENDODERM, CARDIAC AND NEURAL INDUCING FACTORS" by the following inventors:

Full Name Of Inventor	Family Name DeRobertis	First Given Name Edward	Second Given Name M.
Residence & Citizenship	City Pacific Palisades	State or Foreign Country California	Country of Citizenship U.S.A.
Post Office Address	Post Office Address 16958 Dulce Ynez Lane	City California	State & Zip Code/Country California 90272 U.S.A.
Full Name Of Inventor	Family Name Bouwmeester	First Given Name Tewis	Second Given Name
Residence & Citizenship	City Heidelberg	State or Foreign Country Germany	Country of Citizenship The Netherlands
Post Office Address	Post Office Address Ploeck 56	City Heidelberg	State & Zip Code/Country 69117 Germany

- ☒ Enclosed is a true and correct copy of the prior application; including the specification, claims, drawings, oath or declaration showing the applicant's signature, and any amendments referred to in the oath or declaration filed to complete the prior application. (It is noted that no amendments referred to in the oath or declaration filed to complete the prior application introduced new matter therein.) The copy of the prior application is as follows: 24 pages of Specification, 15 Claims, 1 page of Abstract, 10 sheets of Drawings, 20 pages of Sequence Listing, 6 pages of Oath or Declaration, 5 pages of Revocation & Substitute Power of Attorney and 5 pages of Assignment.
- ☒ Cancel in this application original claims 2-5, 9, 10 and 13-15 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

3. ☒ The filing fee is calculated below:

CLAIMS AS FILED

Number of Claims Filed		In Excess of:		Number Extra		Rate		Fee
Basic Filing Fee								\$355.00
Total Claims								
4	-	20	=	0	x	9.00	=	\$0.00
Independent Claims								
2	-	3	=	0	x	40.00	=	\$0.00
MULTIPLE DEPENDENT CLAIM FEE								\$0.00
TOTAL FILING FEE								\$355.00

4. ☒ Small Entity Status was claimed in the prior application.
4. ☒ Payment of fees:
☒ Attached is a check in the amount of \$355.00.
☐ Please charge Deposit Account No. 16-2230.
5. ☒ The Commissioner is hereby authorized to charge any additional fees as set forth in 37 CFR §§ 1.16 to 1.18 which may be required by this paper or credit any overpayment to Account No. 16-2230.
6. ☐ A set of formal drawings (_____ sheets) is enclosed.
7. ☐ Priority of application Serial No. _____, filed on _____ in _____, is claimed under 35 U.S.C. 119.
☐ The certified copy has been filed in prior application Serial No. _____, filed _____.
8. ☒ The prior application is assigned of record to THE REGENTS OF THE UNIVERSITY OF CALIFORNIA on January 22, 2001 at Reel 011469 and Frame 0424.
9. ☒ The Power of Attorney in the prior application is to:

OPPENHEIMER WOLFF & DONNELLY LLP
2029 Century Park East, 38th Floor
Los Angeles, CA 90067-3024
10. ☒ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
☒ Fee for excess claims is attached.
11. ☐ A petition and fee has been filed to extend the term in the prior application until _____. A copy of the petition for extension of time in the prior application is attached.
12. ☐ The inventor(s) in this application are less than those named in the prior application and it is requested that the following inventors identified above for the prior application be deleted:
13. ☒ Also Enclosed: Diskette containing Sequence Listing
14. ☒ Address all future communications to the **Attention of Charles Berman** (may only be completed by attorney or agent of record) at the address below.

15. ☒ A return postcard is enclosed.

OPPENHEIMER WOLFF & DONNELLY
2029 Century Park East, 38th Floor
Los Angeles, CA 90067-3024
(310) 788-5000

By: 

Name: Charles Berman

Reg. No.: 29,249

CB/KVV/as

FOR FILING

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentee:	De Robertis et al.	Examiner	Not yet assigned
Serial No.	09/552,988	Art Unit	1646
Filed	April 21, 2000	Docket:	510015-248
Due Date:	n/a		
Title:	ENDODERM, CARDIAC AND NEURAL INDUCING FACTORS		

CERTIFICATE UNDER 37 CFR 1.8

I hereby certify that this correspondence and identified enclosures are being deposited with the United States Postal Service, first class mail, postage prepaid, under 37 C.F.R. 1.8 on the date indicated, and is addressed to Box: Assignments, Commissioner for Patents, Washington, D.C. 20231 on January 17, 2001.


Amber Stewart

Box: Assignments
Commissioner for Patents
Washington, D.C. 20231

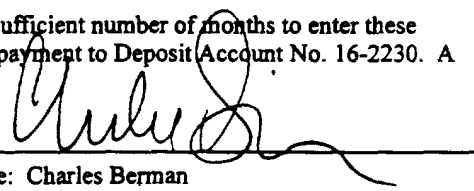
Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Assignment of the invention to The Regents of the University of California and Recordation Form Cover Sheet
- ☒ Check in the amount of \$40.00 to cover assignment recordation fee
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 16-2230. A duplicate of this sheet is enclosed.

OPPENHEIMER WOLFF & DONNELLY LLP
2029 Century Park East, 38th Floor
Los Angeles, CA 90067-3024
(310) 788-5000

By: 
Name: Charles Berman
Reg. No.: 29,249
CB/as